## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:	PETER PAL VARGA et al.	)
TITLE:	INTERVERTEBRAL SPACING IMPLANT SYSTEM	) )
SERIAL NO.:		) INFORMATION DISCLOSURE ) STATEMENT UNDER 37 C.F.R.
FILED:	March 31, 2004	§ 1.97
EXAMINER:		)
ART UNIT:		)

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449, which contains a list of patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). Applicant respectfully invokes the Patent Office's obligation under 37 C.F.R. § 1.97 to consider these references and make them of record in the above-captioned application. While no representation is made that any of these references may be "prior art" within the meaning of

CERTIFICATE OF EXPRESS MAILING

> Karl R. Cannon Attorney Registration No. 36,468 Attorney for Applicant

that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the references listed, together with any other references which may have been previously cited by or submitted to the Office, are the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each listed reference (or relevant portion thereof) that was not previously submitted to, or cited by, the Patent Office is also enclosed.

## Statement of References Previously Disclosed Under 37 C.F.R. § 1.98(d)

The references listed on the enclosed Form PTO-1449 are not required to be provided under 37 C.F.R. § 1.98(d), and are therefore not enclosed, because they were previously cited by or submitted to the Office in application number 10/055,673, which is relied upon for an earlier filing date under 35 U.S.C. § 120.

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-0836.

DATED this 3 day of March, 2004.

Respectfully submitted,

Karl R dannon

Attorney Registration No. 36,468 Attorney for Applicant

CLAYTON, HOWARTH & CANNON, P.C.

P.O. Box 1909 Sandy, UT 84091

Telephone: (801) 255-5335 Facsimile: (801) 255-5338

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PTO-144	49	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			ATTY. DOCKET NO. T9376.DIV1DIV	•	SERIAL NO.		
LIST (	)F PRI	OR ART CITE	D BY APPLICAN	Ϋ́Τ	APPLICANT Peter Pal Varga et al.				
					FILING DATE March	31, 2004	GROUP	GROUP	
<del></del>			U.i	S. PATE	NT DOCUMENTS				
EXAMINER INITIALS		DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	AA	4,479,491	Oct. 30, 1984	Martin					
	AB	4,627,853	Dec. 9, 1986	Campbe	ll et al.				
	AC	4,678,470	Jul. 7, 1987	Nashef e	et al.				
	AD	4,714,469	Dec. 22, 1987	Kenna					
	AE	4,834,757	May 30, 1989	Brantiga	ın				
	AF	4,863,477	Sep. 5, 1989	Monson					
	AG	4,904,261	Feb. 27, 1990	Dove					
	AH	4,932,969	Jun. 12, 1990	Frey et al.					
	AI	4,950,296	Aug. 21, 1990	McIntyre			,		
	AJ	5,053,049	Oct. 1, 199	Campbell					
	AK	5,071,437	Dec. 10, 1991	Steffee					
		<b>.</b>	FORE	IGN PA	TENT DOCUMENT	S			
		DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AL	EP 0 538 183	Apr. 21, 1993	Europe				x	
	AM	EP 0 599 419	Jun. 1, 1994	Europe				х	
	AN	FR 27336537 A	1 Jan. 17, 1997	France				х	
	AO	DE 29901611U	1 Apr. 22, 1999	Germany	у			х	
	AP	DE 19710392C	l Jul. 1, 1999	German	у			Abstract only	
OTHER PI	RIOR A	ART (Including	Author, Title, Vo	lume and	i/or Name of Publica	tion, Relevant	Pages and Dat	e [as available])	
	AQ	Péter Pá translat		zió és rigid	stabilizálás (fusio)." Lumb	alis spinalis stenos	sis, pp. 98-113, 19	995 (with English	
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EXAMINER INITIALS		DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	AR	5,092,893	Мат. 3, 1992	Smith					
	AS	5,123,926	Jun. 23, 1992	Pisharod	i				
	AT	5,171,281	Dec. 15, 1992	Parsons	et al.	ļ			
	AU	5,192,326	Mar. 9, 1993	Bao et a	l.				
	AV	5,192,327	Mar. 9, 1993	Brantiga	n				
	AW	5,275,954	Jan. 4, 1994	Wolfinb	arget et al.				
	AX	5,306,303	Арг. 26, 1994	Lynch					
	AY	5,306,308	Apr. 26, 1994	Gross et	al.				
	AZ	5,306,309	Apr. 26, 1994	Wagner	et al.				
	BA	5,344,459	Sep. 6, 1994	Swartz					
	ВВ	5,425,772	Jun. 20, 1995	Brantiga	n	<u> </u>			
			FORE	IGN PA	TENT DOCUMENTS	-		·	
		DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
·	вс	WO 94/26213	Nov. 24, 1994	Internati	onal (PCT)			х	
	BD	WO 97/06753	Feb. 27, 1997	Internati	onal (PCT)			x	
	BE	WO 97/15247	May 1, 1997	Internati	onal (PCT)			x	
	BF	WO 98/17209	Apr. 30, 1998	Internati	onal (PCT)				
	BG	WO 98/55052	Dec. 10, 1998	Internati	onal (PCT)	<u> </u>			
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EXAMINER INITIALS		DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	вн	5,458,638	Oct. 17, 1995	Kuslich	et al.				
	BI	5,458,643	Oct. 17, 1995	Oka et a					
	BJ	5,514,180	May 7, 1996	Heggene	ss et al.				
	вк	5,534,028	Jul. 9, 1996	Bao et al					
	BL	5,534,030	Jul. 9, 1996	Navarro	et al.				
	ВМ	5,545,229	Aug. 13, 1996	Parsons	et al.				
	BN	5,556,379	Sep. 17, 1996	Wolfinbarger					
	ВО	5,571,192	Nov. 5, 1996	Schönhöffer					
· <del>-</del> · <u>-</u>	BP	5,593,409	Jan. 14, 1997	Michelson					
	BQ	5,609,637	Mar. 11, 1997	Biedermann et al.					
	BR	5,645,598	Jul. 8, 1997	Brosnah	an, III				
			FORE	IGN PA	TENT DOCUMENT	s			
		DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
· •	BS	WO 98/56319	Dec. 17, 1998	Internation	onal (PCT)				
	ВТ	WO 98/56433	Dec. 17, 1998	Internation	onal (PCT)				
	BU	JP 09122160	May 13, 1997	Japan				X	
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LIST OF PRIOR ART CITED BY APPLICANT				APPLICANT Peter Pal V	APPLICANT Peter Pal Varga et al.			
					FILING DATE March 31	, 2004	GROUP	
			U.S	S. PATE	NT DOCUMENTS			
EXAMINER INITIALS		DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	BV	5,653,762	Aug. 5, 1997	Pisharod	li			
	вw	5,658,337	Aug. 19, 1997	Kohrs et	al.			
	вх	5,674,295	Oct. 7, 1997	Ray et a	l			
	BY	5,676,702	Oct. 14, 1997	Ratron				
	BZ	5,702,449	Dec. 30, 1997	McKay				
O)	CA	5,702,451	Dec. 30, 1997	Biederm	ann et al.			
	СВ	5,702,455	Dec. 30, 1997	Saggar				·
	CC	5,720,748	Feb. 24, 1998	Kuslich	et al.			
	CD	5,722,977	Mar. 3, 1998	Wilhelm	у			
	CE	5,725,579	Mar. 10, 1998	Fages et				
	CF	5,728,159	Mar. 17, 1998	Stroever	et al.			
			FORE	IGN PA	TENT DOCUMENTS	-		
		DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
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EXAMINER INITIALS		DOCUMENT NUMBER	DATE	Ģ.	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	CG	5,741,253	Apr. 21, 1998	Michelso	on			
	СН	5,755,798	May 26, 1998	Papavero	et al.			
	CI	5,766,252	Jun. 16, 1998	Henry et	al.			
	CJ	5,766,253	Jun. 16, 1998	Brosnaha	an, III			
	СК	5,776,199	Jul. 7, 1998	Michelso	on			
	CL	5,785,710	Jul. 28, 1998	Michelso	on		<u> </u>	
	СМ	5,797,871	Aug. 25, 1998	Wolfinba	arger, Jr.			
	CN	5,814,084	Sep. 29, 1998	Grivas et	al.			
	co	5,820,581	Oct. 13, 1998	Wolfinbarger, Jr.				
	СР	5,824,094	Oct. 20, 1998	Serhan e	t al.			
	CQ	5,860,973	Jan. 19, 1999	Michelso	on			
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	CR	5,861,041	Jan. 19, 1999	Tienboo	1				
	CS	5,865,845	Feb. 2, 1999	Thalgott					
	СТ	5,876,457	Mar. 2, 1999	Picha et	al.				
	CU	5,888,222	Mar. 30, 1999	Coates e	t al.				
	CV	5,888,223	Mar. 30, 1999	Bray, Jr.					
	CW	5,888,224	Mar. 30, 1999	Beckers	et al.				
0	сх	5,888,226	Mar. 30, 1999	Rogozin	ski				
	CY	5,888,227	Mar. 30, 1999	Cottle					
	cz	5,888,228	Маг. 30, 1999	Knothe e	et al.				
	DA	5,897,556	Apr. 27, 1999	Drewry e	et al.				
	DB	5,897,593	Apr. 27, 1999	Kohrs et	al.				
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	DC	5,961,554	Oct. 5, 1999	Janson e	t al.				
	DD	5,972,368	Oct. 26, 1999	McKay					
	DE	5,989,289	Nov. 23, 1999	Coates e	t al.				
	DF	6,022,376	Feb. 8, 2000	Assell et	al.				
	DG	6,045,580	Apr. 4, 2000	Scarboro	ough et al.				
	DH	6,059,829	May 9, 2000	Schläpfe	r et al.				
	. DI	6,080,158	Jun. 27, 2000	Lin					
	DJ	6,093,205	Jul. 25, 2000	McLeod	et al.				
	DK	6,096,081	Aug. 1, 2000	Grivas et	al.				
	DL	6,117,174	Sep. 12, 2000	Nolan					
	DM	6,132,465	Oct. 17, 2000	Ray et al					
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	DN	6,139,579	Oct. 31, 2000	Steffee e	t al.			
	DO	6,143,031	Nov. 7, 2000	Knothe e	t al.			
	DP	6,143,032	Nov. 7, 2000	Schafer e	et al.			
	DQ	6,143,033	Nov. 7, 2000	Paul et a	l			
	DR	6,146,422	Nov. 14, 2000	Lawson				
	DS	6,149,651	Nov. 21, 2000	Drewry 6	et al.			
	DT	6,156,067	Dec. 5, 2000	Bryan et	al.			
	DU	6,159,244	Dec. 12, 2000	Suddaby				
	DV	6,162,252	Dec. 19, 2000	Kuras et	al.	-		
	DW	6,179,873	Jan. 30, 2001	Zientek				
	DX	6,179,874	Jan. 30, 2001	Cauthen				
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EXAMINER INITIALS		DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	DY	6,210,412	Apr. 3, 2001	Michelse	on			
	DZ	6,217,579	Apr. 17, 2001	Koros				
	EA	6,235,059	May 22, 2001	Benezec	h et al.			
	EB	6,245,072	Jun. 12, 2001	Zdeblick	c et al.			
	EC	6,245,108	Jun. 12, 2001	Biscup				
	ED	6,258,125	Jul. 10, 2001	Paul et a	ıl.			
	EE	6,261,586	Jul. 17, 2001	МсКау				
	EF	6,264,655	Jul. 24, 2001	Pisharod	li			
	EG	6,264,656	Jul. 24, 2001	Michelse	on			
	ЕН	6,277,149	Aug. 21, 2001	Boyle et	al.			
	EI	6,296,641	Oct. 2, 2001	Burkhea	d et al.			
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	EJ	6,296,647	Oct. 2, 2001	Robion	eck et al.			
	EK	6,302,914	Oct. 16, 2001	Michels	son	<u> </u>		
	EL	6,306,170	Oct. 23, 2001	Ray				
	ЕМ	6,309,421	Oct. 30, 2001	Pisharo	di			
	EN	6,315,795	Nov. 13, 2001	Scarbor	rough et al.			
	EO	6,315,797	Nov. 13, 2001	Middle	ton			
	EP	6,387,130	May 14, 2002	Stone e	t al.			
	EQ	6,402,785	Jun. 11, 2002	Zdeblic	k et al.	<u> </u>		
	ER	US 2001/0000532 A1	Apr. 26, 2001	Michels	son			
	ES	US 2001/0001129 A1	May 10, 2001	McKay	et al.			
	ET	US 2002/0045904 A1	Apr. 18, 2002	Fuss et	al.			
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